



PATENT  
Attorney Docket No. HOOV 117

13/c  
B. Webb  
3-18-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Michael D. Hooven )  
Serial No.: 10/015,868 )  
Filed: December 12, 2001 )  
Group Art No.: 3739 )  
Examiner: Rosiland S. Kearney )  
For: TRANSMURAL ABLATION DEVICE )  
WITH THIN ELECTRODES )

CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
"Express Mail" Mailing Label No.: EV036906202US

Date of Deposit March 4, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231

NAME Jeannie Rapstad

SIGNATURE Jeannie Rapstad

Commissioner for Patents  
U.S. Patent & Trademark Office  
Washington, D.C. 20231

RECEIVED  
MAR 11 2003  
TECHNOLOGY CENTER R3700

AMENDMENT AND RESPONSE TO OFFICE ACTION  
OF DECEMBER 4, 2002

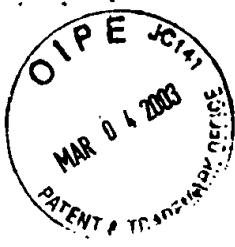
In response to the Office Action of December 4, 2002, please amend Claims 1-6 and add new dependent Claims 7-26 to read as follows:

CLAIMS

In the Claims

*C (Subf)*

1. (Amended) A device for clamping and ablating cardiac tissue to form a transmural lesion therein, comprising:



PATENT  
Attorney Docket No. HOOV 117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Michael D. Hooven )  
Serial No.: 10/015,868 )  
Filed: December 12, 2001 )  
Group Art No.: 3739 )  
Examiner: Rosiland S. Kearney )  
For: TRANSMURAL ABLATION DEVICE )  
WITH THIN ELECTRODES )

CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
"Express Mail" Mailing Label No.: EV036906202US

Date of Deposit March 4, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231

NAME Jeannie Rapstad

SIGNATURE Jeannie Rapstad

Commissioner for Patents  
U.S. Patent & Trademark Office  
Washington, D.C. 20231

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, **Label No. EV036906202US** under 37 CFR 1.10 on **March 4, 2003** and is addressed to: Commissioner for Patents, U.S. Patent & Trademark Office, Washington, D.C. 20231:

1. Transmittal Form PTO/SB/21 (1 sheet);
2. Amendment and Response to Office Action of December 4, 2002 (11 sheets);
3. Fee Transmittal PTO/SB/17 (in duplicate, 2 sheets total);
4. Check No. 12493 in the amount of \$54.00;
5. Certificate of Mailing (1 sheet); and
6. Return Receipt Postcard.

Name: Jeannie Rapstad

Signature: Jeannie Rapstad

(EV036906202US)

RECEIVED  
MAR 11 2003  
TECHNOLOGY CENTER R3700

C



03 - 05 - 08 /3739 \$

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/015,868
		Filing Date	December 12, 2001
		First Named Inventor	Michael D. Hooven
		Group Art Unit	3739
		Examiner Name	Rosiland S. Kearney
Total Number of Pages in This Submission	15	Attorney Docket Number	HOOV 117

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> <li>- Certificate of Mailing</li> <li>- Return Receipt Postcard</li> </ul>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

By U.S. Postal Service Express Mail  
Label No. EV036906202US

<u>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</u>	
Firm or Individual name	Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357)
Signature	
Date	March 4, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as ~~first class~~ ~~express~~  
mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:  March 4, 2003

Typed or printed name	Jeannie Rapstad
Signature	
Date	March 4, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED  
MAR 11 2003  
TECHNOLOGY CENTER R3700



PTO/SB/17 (11-01)

Approved for use through 10/31/2002, OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 54.00)

## Complete if Known

Application Number	10/015,868
Filing Date	December 21, 2001
First Named Inventor	Michael D. Hooven
Examiner Name	Rosiland S. Kearney
Group Art Unit	3729
Attorney Docket No.	HOOV 117

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

 Deposit Account:

Deposit Account Number	50-1039
Deposit Account Name	Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge ~~any fee(s) indicated above~~ to the above-identified deposit account. Underpayment of fee

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1) (\$ 0.00)			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
26	-20** = 6		x 9.00	= 54.00	
2	-3*** = 0		x 42.00	= 0.00	

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 54.00)		

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 0.00)	

RECEIVED  
MARCH 11 2003  
TECHNOLOGY CENTER 2033

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Gary W. McFarron, Esq.	Registration No. (Attorney/Agent)	27,357	Telephone (312) 236-8500
Signature		Date	March 4, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.